



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/838,565	04/19/2001	Wolfgang Tager	14034

CONFIRMATION NO. 8747

FORMALITIES LETTER

OC000000006202987

David E. Bruhn
Dorsey & Whitney LLP
220 South Sixth Street
Minneapolis, MN 55402-1498COPY OF PAPERS
ORIGINALLY FILED

02/21/02

Date Mailed: 06/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Docket: 14034

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Wolfgang Tager	COPY OF PAPERS ORIGINALLY FILED <u>02/20/02</u>	Examiner: Unknown Group Art Unit: 2635 COPY OF PAPERS ORIGINALLY FILED
Appln. No.:	09/838,565		
Filed:	April 19, 2001		
Title:	Powerline Data Communication		

MAR 28 2002

FILING OF MISSING PARTS
OF APPLICATION UNDER 37 CFR 1.53(f)

Box MISSING PARTS
Commissioner for Patents
Washington, D.C. 20231

I hereby certify that this document is being sent via First Class U.S.
mail addressed to: Commissioner for Patents, Washington, D.C.
20231 on this 19 day of December, 2001.

Frances Esque
(Signature)

Dear Sir:

In response to the Notice To File Missing Parts of Application—Filing Date Granted, mailed on June 20, 2001, pursuant to 37 CFR 1.53(f), the following documents are enclosed

1. Required copy of the Notice to File Missing Parts of Application;
2. Signed Declaration;
3. Fee Transmittal Sheet;
4. Petition for Extension of Time;
5. A check in the amount of \$ 435.00 (\$370.00 to cover the basic filing fee, and \$65.00 for the surcharge under 37 CFR 1.16(e)); and
6. Return Receipt Postcard.

Respectfully submitted,

DORSEY & WHITNEY LLP

Date:

Dec 19, 2001

By:

David E. Bruhn

David E. Bruhn (Reg. No. 36,762)
Suite 1500
50 South Sixth Street
Minneapolis, MN 55402-1498
(612) 340-6317



UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED MAR 28 2002

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
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Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/838,565	04/19/2001	Tager, Wolfgang	14034

David E. Bruhn
Dorsey & Whitney LLP
220 South Sixth Street
Minneapolis MN 55402-1498

Title: Powerline data communication

Date Mailed: 03/22/2002

Request for Substitute Papers

The papers filed on 02/25/02 are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-4060(OIPE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. **A copy of this notice should be included with the reply.**

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: DAVID E. BRUHN Registration No.: 36,762

Signature: [Signature] Date: 3-28-2002

DORSEY & WHITNEY LLP

MINNEAPOLIS
NEW YORK
SEATTLE
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SUITE 1500
50 SOUTH SIXTH STREET
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TELEPHONE: (612) 340-2600
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www.dorseyllaw.com

FAX COVER SHEET

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The information contained in this facsimile message, if a client of this firm is a named addressee, or the message is otherwise intended for a client, is presumptively legally privileged and confidential information. If you are not a named addressee, or if there is any reason to believe that you may have received this message in error, (1) do not read the message below; (2) do not distribute or copy this facsimile; and (3) please immediately call us collect at the number of the sender below.

DATE: March 28, 2002 TOTAL # OF PAGES (INCLUDING THIS COVER SHEET): 10

TO: United States Patent and Trademark Office FAX #: 703-746-4060
FIRM NAME: United States Patent and Trademark Office TELEPHONE #: 703-308-0910

FROM: David E. Bruhn FAX #: (612) 340-8856
TELEPHONE #: (612) 340-6317 EMAIL: bruhn.david@dorseyllaw.com

COMMENTS:

RE: U.S. Patent Application Serial No.: 09/838,565
Title: Powerline Data Communication
Date Filed: April 19, 2001

In response to the Request for Substitute Papers, Applicant submits herewith:

- 1) Copy of Request for Substitute Papers;
- 2) Copy of Response to Notice of Missing Parts;
- 3) Copy of Signed Declaration;
- 4) Copy of the Fee Transmittal;
- 5) Copy of the Petition for Extension of Time;
- 6) Copy of check stubs for payment of Extension of Time and Surcharge;
- 7) Copy of postcard indicating receipt of the Notice of Missing Parts filed on December 19, 2001.

Frances Essien
Originator's Signature

Original will be sent via: ☐ Mail ☐ Messenger ☐ Air Courier ☒ Will not be sent

PLEASE CONTACT FRANCES L. ESSIEN AT (612) 340-7849 IF THIS TRANSMISSION IS INCOMPLETE OR CANNOT BE READ.

O I P E
MAR 28 2002

The mail stamp of the United States Patent and Trademark Office on this return receipt postcard acknowledges the filing on the date stamped of the following:

Missing Parts Transmittal (1pg); Copy of Notice of Missing Parts (1pg); Signed Declaration (3pgs); Fee Transmittal (1pg); Petition for Extension of Time (1pg); check for \$435.00; and a return postcard.

Via First Class Mail (with certificate of mailing)

First Named Inventor: Wolfgang Tager
Client Name: Polytrax Information Technology AG
Application No.: 09/838,565
Filing Date: April 19, 2001
Application Title: Powertine Data Communication
Attorney/LA Initials: DEB/le
Mailed: December 19, 2001
Docket No.: 14034



RECEIVED

Adams & Smith

DORSEY & WHITNEY LLP 50 SOUTH SIXTH STREET, STE 1500 MINNEAPOLIS, MINNESOTA 55402-1498

CHECK NO.: 898134

INVOICE	DATE	INVOICE DESCRIPTION	AMOUNT PAID
F. Essien	12-18-01	23	435.00

OIFE
MAR 28 2002

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12/19/01

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Havre, MT 59401

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MINNEAPOLIS, MINNESOTA 55402-1498

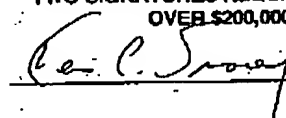
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93-455/929

CHECK AMOUNT

\$*****435.00

PAY FOUR HUNDRED THIRTY-FIVE AND 00/100 Dollars

TWO SIGNATURES REQUIRED ON CHECK
OVER \$200,000.00TO
THE
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OFDirector of the United States
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VOID AFTER SIX MONTHS

⑈898134⑈ ⑆092904554⑆150080378931⑈

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INVOICE	DATE	INVOICE DESCRIPTION	AMOUNT PAID
F. Essien	12-18-01	23	720.00

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MAR 28 2002

CHECK DATE

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50 SOUTH SIXTH STREET, STE 1500
MINNEAPOLIS, MINNESOTA 55402-1498

CHECK NO. 898135

93-455/929

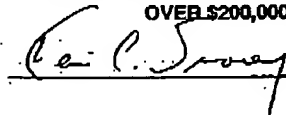
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Havre, MT 59401

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OVER \$200,000.00

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THE
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OFDirector of the United States
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898135 097904554 15008037893

APPLICATION FEE TRANSMITTAL SHEET (FOR FY 2002)

Complete if Known

Application No.	09/838,565
Filing Date	April 19, 2001
First Named Inventor	Wolfgang Tager
Group Art Unit	2635
Examiner Name	Unknown
Atty. Docket Number	14034

MAR 28 2002

METHOD OF PAYMENT (Check One)		FEE CALCULATION (Continued)																																																																																																													
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No.: 04-1420 Deposit Account Name: <u>DORSEY & WHITNEY LLP</u> <input checked="" type="checkbox"/> Charge any additional fee required under 37 C.F.R. 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status (see 37 C.F.R. 1.27)		3. ADDITIONAL FEES																																																																																																													
2. <input checked="" type="checkbox"/> Check Enclosed		<table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th>Fee Description</th> <th>Fee paid</th> </tr> </thead> <tbody> <tr> <td>130</td> <td>65</td> <td>Surcharge - Late nonprovisional filing fee or oath</td> <td></td> </tr> <tr> <td>50</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> <td></td> </tr> <tr> <td>110</td> <td>55</td> <td>Extension for reply within first month</td> <td></td> </tr> <tr> <td>400</td> <td>200</td> <td>Extension for reply within second month</td> <td></td> </tr> <tr> <td>920</td> <td>460</td> <td>Extension for reply within third month</td> <td></td> </tr> <tr> <td>1,440</td> <td>720</td> <td>Extension for reply within fourth month</td> <td>720.00</td> </tr> <tr> <td>1,960</td> <td>980</td> <td>Extension for reply within fifth month</td> <td></td> </tr> <tr> <td>310</td> <td>155</td> <td>Filing a brief in support of an appeal</td> <td></td> </tr> <tr> <td>260</td> <td>135</td> <td>Request for oral hearing</td> <td></td> </tr> <tr> <td>110</td> <td>55</td> <td>Terminal Disclaimer Fee</td> <td></td> </tr> <tr> <td>110</td> <td>55</td> <td>Petition to revive - unavoidable</td> <td></td> </tr> <tr> <td>1,240</td> <td>620</td> <td>Petition to revive - unintentional</td> <td></td> </tr> <tr> <td>1,240</td> <td>620</td> <td>Utility/Reissue issue fee (inc. advance copies)</td> <td></td> </tr> <tr> <td>330</td> <td>165</td> <td>Design Application filing fee</td> <td></td> </tr> <tr> <td>440</td> <td>220</td> <td>Design issue fee (inc. advance copies)</td> <td></td> </tr> <tr> <td>510</td> <td>255</td> <td>Plant Application filing fee</td> <td></td> </tr> <tr> <td>130</td> <td>130</td> <td>Petitions to the Commissioner</td> <td></td> </tr> <tr> <td>50</td> <td>50</td> <td>Petitions related to provisional applications</td> <td></td> </tr> <tr> <td>300</td> <td>300</td> <td>Publication fee for early, voluntary, or normal publication</td> <td></td> </tr> <tr> <td>300</td> <td>300</td> <td>Publication fee for republication</td> <td></td> </tr> <tr> <td>180</td> <td>180</td> <td>Submission of IDS</td> <td></td> </tr> <tr> <td>40</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> <td></td> </tr> <tr> <td>740</td> <td>370</td> <td>Request for Continued Examination (RCE)</td> <td></td> </tr> <tr> <td colspan="2">Other fee (specify)</td> <td></td> <td></td> </tr> <tr> <td colspan="2">Subtotal (3)</td> <td>\$720.00</td> <td></td> </tr> <tr> <td colspan="2">Total Amount of Payment:</td> <td>\$1155.00</td> <td></td> </tr> </tbody> </table>		Large Entity	Small Entity	Fee Description	Fee paid	130	65	Surcharge - Late nonprovisional filing fee or oath		50	25	Surcharge - late provisional filing fee or cover sheet		110	55	Extension for reply within first month		400	200	Extension for reply within second month		920	460	Extension for reply within third month		1,440	720	Extension for reply within fourth month	720.00	1,960	980	Extension for reply within fifth month		310	155	Filing a brief in support of an appeal		260	135	Request for oral hearing		110	55	Terminal Disclaimer Fee		110	55	Petition to revive - unavoidable		1,240	620	Petition to revive - unintentional		1,240	620	Utility/Reissue issue fee (inc. advance copies)		330	165	Design Application filing fee		440	220	Design issue fee (inc. advance copies)		510	255	Plant Application filing fee		130	130	Petitions to the Commissioner		50	50	Petitions related to provisional applications		300	300	Publication fee for early, voluntary, or normal publication		300	300	Publication fee for republication		180	180	Submission of IDS		40	40	Recording each patent assignment per property (times number of properties)		740	370	Request for Continued Examination (RCE)		Other fee (specify)				Subtotal (3)		\$720.00		Total Amount of Payment:		\$1155.00	
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Submitted by:

Name: David E. Bruhn

Reg. No.: 36,762

Telephone: (612) 340-6317

Signature:

Date:

Dec 19, 2001